

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

08440/003001

In Re Application Of: Kazuhiko YAMANOUCHI

Serial No.

10/085,943

Filing Date

February 28, 2002

Examiner

Group Art Unit

2834

Title: SURFACE ACOUSTIC WAVE SUBSTRATE AND SURFACE ACOUSTIC WAVE FUNCTIONAL ELEMENT

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Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).



TRANSMITTAL LETTER
(General - Patent Pending)

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Information Disclosure Statement w/ listed references

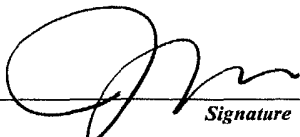
Transmittal of Priority Documents,

Japanese Application Nos. 2001-110641, filed March 4, 2001, and 2001-379311, filed November 6, 2001

Request to Correct Filing Receipt

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
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Jonathan P. Osha, Reg. No. 33,986
ROSENTHAL & OSHA L.L.P.
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Dated: **5/28/02**

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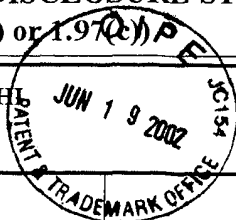
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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Signature

Jonathan P. Osha, Reg. No. 33,986
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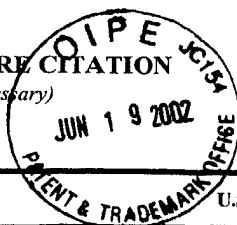
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Dated: **May 23, 2002**

CC:

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

08440/003001

Application Number

10/085,943

Applicant(s)

Kazuhiko YAMANOUCHI

Filing Date

February 28, 2002

Group Art Unit

2834

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	A1	7-15274	01/17/1995	Japan				✓
	A2	63-260213	10/27/1988	Japan				✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	A3	Patent Abstracts of Japan, Publication No. 07-015274, Publication Date 01/17/1995, 2 pages
	A4	Patent Abstracts of Japan, Publication No. 63-260213, Publication Date 10/27/1988, 2 pages

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.